

# Specialist Advisory Firm Gains FSA Authorisation

Submitted by: Compliancy Services

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Smythe House, the specialist investment adviser (<http://www.compliancy-services.co.uk/your-sector/investment-advisers>), has gained authorisation (<http://www.compliancy-services.co.uk/authorisation/fsa-authorisation-with-fsastart>) from the Financial Services Authority (FSA.) Smythe House provides a specialist wealth management (<http://www.compliancy-services.co.uk/your-sector/fund-asset-management>) and financial advisory service predominantly to city-based High Net Worth Individuals in banking, financial services, property and the professions.

Smythe House has developed an innovative business model ahead of the impact of the Retail Distribution Review (RDR) to allow it to deliver market-leading financial advice to clients who can claim professional status under the FSA rules. Smythe House has been preparing for RDR for some time and has seen significant growth in its business as a result.

Richard Walker, a Director at Smythe House and previously a senior investment (<http://www.compliancy-services.co.uk/your-sector/investment-advisers>) banker with Barclays Capital, commented: "Our growing client base is financially sophisticated and professionally successful. We are delighted to receive Authorisation (<http://www.compliancy-services.co.uk/authorisation/fsa-authorisation-with-fsastart>) from the FSA which will allow us to extend to our clients a range of investment (<http://www.compliancy-services.co.uk/your-sector/investment-advisers>) products and opportunities suited to sophisticated investors. FSA authorisation (<http://www.compliancy-services.co.uk/authorisation/fsa-authorisation-with-fsastart>) also provides confidence to our clients of the quality of our operations and commitment to on-going service."

Smythe House was advised by city consultancy Compliancy Service (<http://www.compliancy-services.co.uk>) for the management of their FSA application (<http://www.compliancy-services.co.uk/authorisation/fsa-authorisation-with-fsastart>) and on-going FSA Compliance issues. Ben Mason (<http://www.compliancy-services.co.uk/authorisation/about-us/compliancy-services-board>), Managing Partner said " "By focussing on sophisticated and professional investors Smythe House is able to offer a much wider range of investment (<http://www.compliancy-services.co.uk/your-sector/investment-advisers>) opportunities than most investment advisory firms. This gives them a compelling proposition to take to the market. Gaining FSA authorisation (<http://www.compliancy-services.co.uk/authorisation/fsa-authorisation-with-fsastart>) will enable them to offer a valuable investment (<http://www.compliancy-services.co.uk/your-sector/investment-advisers>) service to their members. We were delighted to work with Smythe House and wish them all the best in this exciting new development for their business."

Notes to editors:

Smythe House was created in 2009 to address demand from professional clients for a sophisticated relationship-driven financial advisory and wealth management

(<http://www.compliancy-services.co.uk/your-sector/fund-asset-management>) service. Since then, it has consistently grown its client base to a current size of approximately 75 clients. Its directors bring to its client base a depth of experience from the accountancy, investment (<http://www.compliancy-services.co.uk/your-sector/investment-advisers>) banking and financial advisory professions. For more information please visit Smythe House Website (<http://www.smythehouse.co.uk>).

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